



By Courier

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
Attn: Clerk's Office  
One Bowling Green  
New York, NY 10004-1408

Our ref.: Asset Servicing Bonds/ adb  
Telephone: +49 211/910-1835  
Düsseldorf, 21.05.2013

Lehman Brothers Holdings Inc.; et al., Debtors  
Case No. 08-13555 (JMP)  
Here: Transfer of claim other than for security

Dear Sir or Madam,

Please find our attached forms for transferring an claim concerning the above mentioned case.

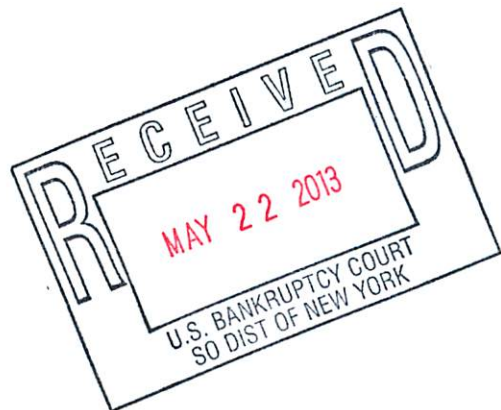
Please confirm us the transfer if you agree with it.

Thank you in advance for your kind co-operation.

Best regards,

HSBC Trinkaus & Burkhardt AG

A handwritten signature in blue ink, appearing to be "J. Burkhardt", written over the printed name of the company.



**Attachments**

- Transfer of claim other than for security
- Evidence of transfer of claim
- Schedule I



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

DAB Bank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

HSBC  
AS - Bonds  
Anke Dornbusch  
Yorckstraße 21-23  
D-40476 Düsseldorf

Phone: +49 211 910 1835

Last Four Digits of Acct #: 4043

Name and Address where transferee  
payments should be sent (if different  
from above):

see above

Phone: see above

Last Four Digits of Acct #: 4043

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: October 29, 2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see  
Schedule I): See Evidence of Transfer of Claim Form

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to  
the best of my knowledge and belief.

By: Anke Dornbusch  
Transferee/Transferee's Agent

Date: 21.05.2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

- DORNBUSCH - - FIJALKOWSKI -

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **DAB Bank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against **Lehman Brothers Holdings, Inc.** (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON AUGUST 21, 2012.

**Credit Suisse AG**

By:   
Name: Martina Berli  
Title: Vice President

By:   
Name: Juliette Diallo  
Title: Vice President

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
DE000A0N6GH8	55829	October 29, 2009	Lehman Brothers Treasury Co. BV	30 Units